SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

		Table I - Non-D	erivative Securities Acquired, Disposed of, or Bene		owned		
(City)	(State)	(Zip)		<u> </u>	Person		
PLANO TX 75074		75074		X	Form filed by One R Form filed by More t		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group F	iling (Check Ap	plicable
(Last) (First) (Middle) 101 E PARK BLVD STE 955		()	06/01/2021		EVP, Chief Financial Officer		
(1.00t)	(Firot)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (s below)	pecify
1. Name and Address of Reporting Person [*] Brata James Kevin) Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>DAWSON GEOPHYSICAL CO</u> [DWSN]		ationship of Reporting F < all applicable) Director	Person(s) to Iss 10% Ow	
	.6. Form 4 or Form 5 may continue. <i>See</i> L(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	4		r response:	0.5

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					Securities Beneficially Owned Following	Form: Direct	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Dawson Geophysical Company-Common Stock \$0.01 par value	06/01/2021		F		4,620(1)	D	\$2.3904	62,252	D	

		Tal	ble II - Derivati (e.g., pı					iired, Disp options, c					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the surrender of shares of Common Stock to satisfy tax withholding obligations in connection with the vesting of restricted stock issued to the Reporting Person under the Amended and Restated Dawson Geophysical Company 2006 Stock and Performance Incentive Plan.

<u>James K. Brata</u>
** Signature of Reporting Person

06/02/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.